

# **Empir – MetroBeta : WP1**

## **Theoretical Calculations of Beta Spectra**

**In partnership: CEA (X. Mougeot) and UMCS (J. Dudek)**

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### **Part II**

## **Microscopic Input for Theoretical Calculations of Beta Spectra**

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# **Microscopic Input for Theoretical Calculations of Beta Spectra**

**Jerzy DUDEK**

**University of Marie Curie-Skłodowska, Poland**

**In partnership: CEA (X. Mougeot) and UMCS (J. Dudek)**

**This presentation contains the result obtained in collaboration  
with  
Irene DEDES  
University of Marie Curie-Skłodowska, Poland**

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  - Optimising the parametrisation of the mean-field Hamiltonian
  - Assuring the parametric stability of the resulting Hamiltonian
- To summarise: Our starting point is a well tested so-called **Phenomenological Universal Woods-Saxon Hamiltonian**

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- They all use WS-Universal Hamiltonian for the nuclear structure calculations
- There are hundreds of nuclear structure articles using this particular nuclear mean-field Hamiltonian published every year **because of its Predictive Power!**

## **The Purpose:**

**Optimise and stabilise the parametrisation  
of the Hamiltonian – thus the spectra**

**The Method Employed:**  
**Inverse Problem Theory**  
**[A Branch of Applied Mathematics]**



# Inverse Problem in Quantum Theories

- Given parameters  $\{p\} \rightarrow$  Schrödinger equation produces ‘data’:

$$\hat{H}(p) \rightarrow \{E_p, \psi(p)\} \leftrightarrow \boxed{\hat{O}_H(p) = d^{th} \leftarrow \text{Direct Problem}}$$

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- In physics this issue remains unsolved: Instead of finding the optimal parameters by solving the Inverse Problem  $\rightarrow\rightarrow$  “one minimises  $\chi^2$ ”

# Inverse Problem in Algebraic [Matrix] Representation

- One may show introducing an equivalent algebraic representation  $\rightarrow$

$$\frac{\partial \chi^2}{\partial p_i} = 0 \rightarrow (J^T J) \cdot p = J^T b(d^{\text{exper}}) \leftrightarrow J^T J \stackrel{df}{=} \mathcal{A}$$

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- In *Applied Mathematics* we slightly change wording and notation  $\rightarrow$

$$\{p\} \rightarrow \mathcal{P} : \text{'Causes'} \text{ and } \{J^T b\} \rightarrow \mathcal{D} : \text{'Data'} \Rightarrow \mathcal{A} \cdot \mathcal{P} = \mathcal{D}$$

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- From measured information-points called Data, represented by  $\mathcal{D}$ , we extract the information about the optimal parameters,  $\mathcal{P}$ , by inverting the matrix  $\mathcal{A}$ :

$$\underbrace{\mathcal{A} \cdot \mathcal{P} = \mathcal{D}}_{\text{Direct Problem}} \rightarrow \underbrace{\mathcal{P} = \mathcal{A}^{-1} \cdot \mathcal{D}}_{\text{Inverse Problem}}$$



# Possibly Loosing the Correlation Data $\leftrightarrow$ Parameters

- We consider linear equations:  $\mathcal{P} = \mathcal{A}^{-1} \cdot \mathcal{D}$

$$\begin{bmatrix} \mathcal{P}_1 \\ \mathcal{P}_2 \\ \dots \\ \mathcal{P}_m \end{bmatrix} = \underbrace{\begin{bmatrix} \mathcal{A}_{11} & \mathcal{A}_{12} & \dots & \mathcal{A}_{1d} \\ \mathcal{A}_{21} & \mathcal{A}_{22} & \dots & \mathcal{A}_{2d} \\ \dots & \dots & \dots & \dots \\ \mathcal{A}_{m1} & \mathcal{A}_{m2} & \dots & \mathcal{A}_{md} \end{bmatrix}}^{-1} \begin{bmatrix} \mathcal{D}_1 \\ \mathcal{D}_2 \\ \dots \\ \mathcal{D}_d \end{bmatrix}$$

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- If this happens  $\rightarrow$   $\mathcal{A}$ -matrix becomes singular [Ill-Posed Problem]

Ill-Posed  $\rightarrow$  Correlation between parameters and the data is lost!

- • • **Parametric correlations cause the ill-posedness of the Inverse Problem**

# Partial Conclusions

- ● ● **Parametric correlations cause the ill-posedness of the Inverse Problem**

- However: The ill-posed inverse problem (i.e.:  $\mathcal{A}^{-1}$  does not exist) has no solutions since modelling does not constrain model parameters:

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... **especially if the inverse problem is ‘just about’ ill posed!**

## **About Parametric Correlations within the Inverse Problem:**

- a. How to determine their presence?**
- b. How to counteract their negative consequences  
which are likely to destroy the predictive power?**

# Woods-Saxon Hamiltonian: Central Potential

- We present here only the spherical variant of the Woods-Saxon potential

$$V_{\text{cent}}^{\text{WS}} = \frac{V_c}{1 + \exp [(r - R_c) / a_c]} ; \quad R_c = r_c A^{1/3}.$$

It has unique features among most of the mean field potentials, namely, **each parameter is related to an independent class of experiments:**

- $V_c$  - depth parameter; from specific transfer reactions
  - $r_c$  - radius parameter; from e.g. electron scattering
  - $a_c$  - diffuseness parameter; from hadron scattering
- In principle each of these parameters can be determined separately thus helping to counteract certain parametric correlations
- **The importance** – This potential is broadly used for deformed nuclei:

$$V_{\text{cent}}^{\text{WS}} = \frac{V_c}{1 + \exp [\text{dist}_{\Sigma}(\vec{r}; R_0) / a_c]}$$

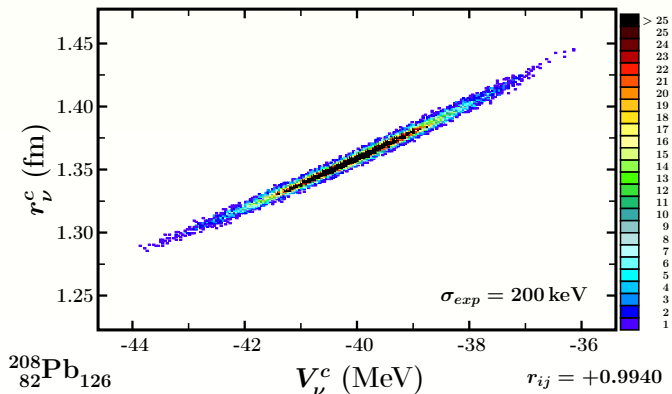
with a fixed parameter set for thousands of nuclei  $\Rightarrow$  Thus the name **‘universal’**

# Parametric Correlations: WS-Central Potential

- Using standard Monte Carlo Simulations and fitting to the experimental neutron single particle energy levels of  $^{208}\text{Pb}$  we find the following correlation pattern →

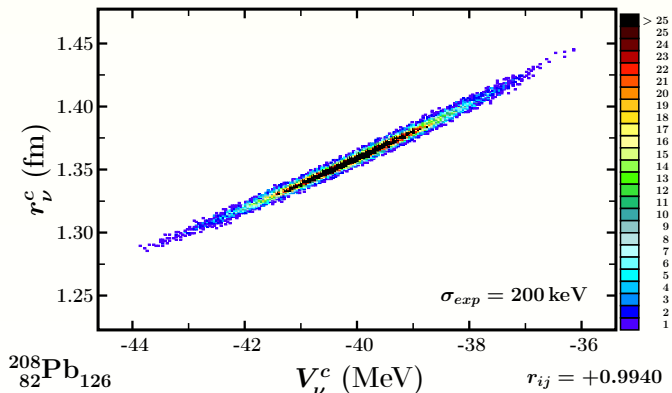
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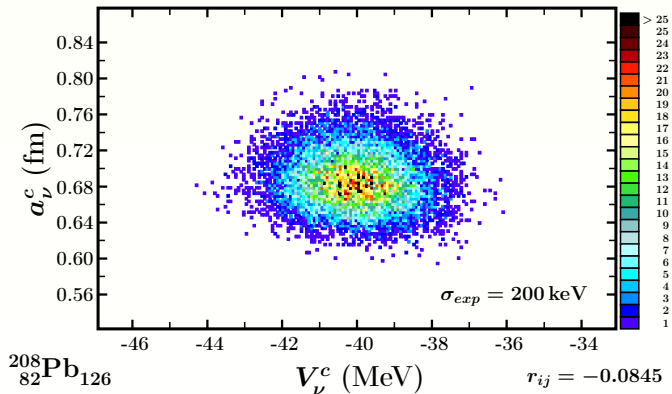


- These results show that the central potential **depth** and central potential **radius** are correlated:  $V_c \times r_c^2 \approx \text{const.}$  A possible ad hoc choice:  $r_c \rightarrow r_c^{\text{exp}}$



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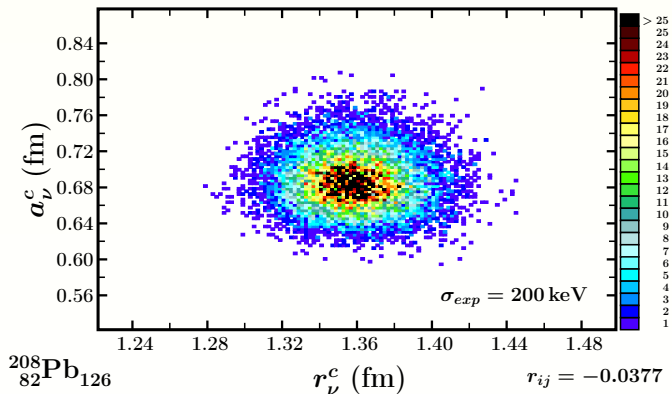
- Using standard Monte Carlo Simulations and fitting to the experimental neutron single particle energy levels of  $^{208}\text{Pb}$  we find the following correlation pattern →



- An approximate circular symmetry of this diagram shows ( $r_{ij} \approx 0$ ) that the central potential **depth** and the central potential **diffuseness** are not correlated - therefore no danger to the predictive power!

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- Using standard Monte Carlo Simulations and fitting to the experimental neutron single particle energy levels of  $^{208}\text{Pb}$  we find the following correlation pattern  $\rightarrow$



- Once again, the circular symmetry of this diagram shows ( $r_{ij} \approx 0$ ) that the central potential **radius** and central potential **diffuseness** are not correlated - therefore no danger to the predictive power either  $\rightarrow$  Just one parametric correlation!

## Conclusion:

**The central potential has only one correlation  
among 3 parameters**

$$V_0^c = V_0^c(r_0^c)$$

**easy to remove**

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**Next:**

**Checking the Spin-Orbit Potential**

**Similar tests show that the Spin-Orbit potential  
contains complex parametric correlations**

## Similar tests show that the Spin-Orbit potential contains complex parametric correlations

- We decided to resign from the simple phenomenology of the spin-orbit potential in favour of the more microscopic, Hartree-Fock type structure
- Here we follow the ‘microscopic generalisation of the WS-universal’ in:

**Realistic Nuclear Mean Field Approach with the Density-Dependent Spin-Orbit Term;**  
**B. Belgoumène, J. Dudek and T. Werner, *Phys. Lett. B267 (4) (1991) 431-437***

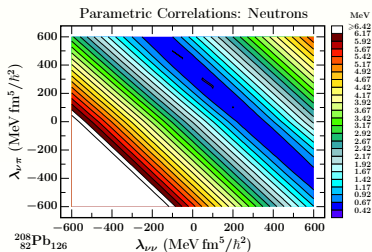
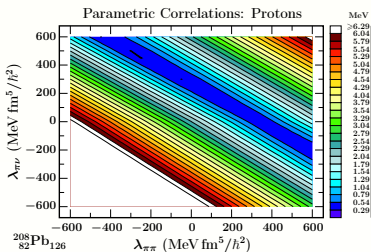
$$\hat{V}_{so}^{\pi} = \lambda_{\pi\pi} \frac{1}{r} \frac{d\rho_{\pi}}{dr} + \lambda_{\pi\nu} \frac{1}{r} \frac{d\rho_{\nu}}{dr} \quad \text{Eq.(A)}$$

$$\hat{V}_{so}^{\nu} = \lambda_{\nu\pi} \frac{1}{r} \frac{d\rho_{\pi}}{dr} + \lambda_{\nu\nu} \frac{1}{r} \frac{d\rho_{\nu}}{dr} \quad \text{Eq.(B)}$$

**Advantages:** The new expression includes the iterative self-consistency condition like in the microscopic HF approach rather than pure phenomenology and contains 4 parameters rather than 6. **What are their correlations?**

# Density-Dependent Spin-Orbit: Linear Correlations

- One can show that the parametric correlations can be detected through the projecting of the  $\chi^2(p)$  onto a  $(p_j, p_k)$ -plane:  $\min_{i \neq j, k} \chi^2(p_1, p_2, \dots, p_m)$
- Correlation on the planes  $(\lambda_{\pi\pi}, \lambda_{\pi\nu})$  and  $(\lambda_{\nu\nu}, \lambda_{\nu\pi})$  for  $^{208}\text{Pb}$

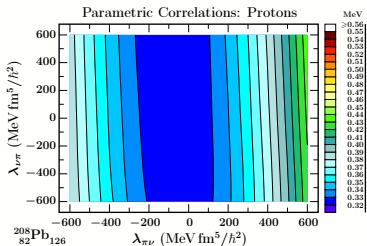
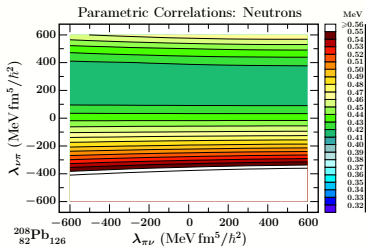
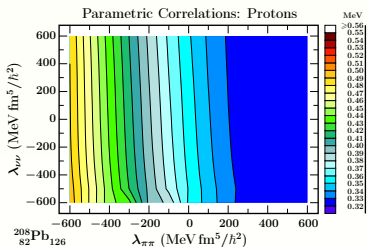
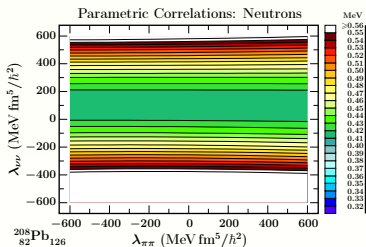


- Realistic calculations indicate that the density-dependent spin-orbit potential parameters are correlated – but the **correlations are perfectly linear**

$$\lambda_{qq'} = \alpha \cdot \lambda_{qq} + \beta$$

# No Correlations in Other S-O Parameter Combinations

- Horizontal/vertical valleys:  $\chi^2$ -projection onto  $(\lambda_{\pi\pi}, \lambda_{\nu\nu})$  and  $(\lambda_{\pi\nu}, \lambda_{\nu\pi})$





# A Working Conclusion

- A more detailed analysis shows that the valleys on the planes

$$(\lambda_{\pi\pi}, \lambda_{\pi\nu}) \text{ and } (\lambda_{\nu\nu}, \lambda_{\nu\pi})$$

cross at the common point for all the nuclei analysed where:

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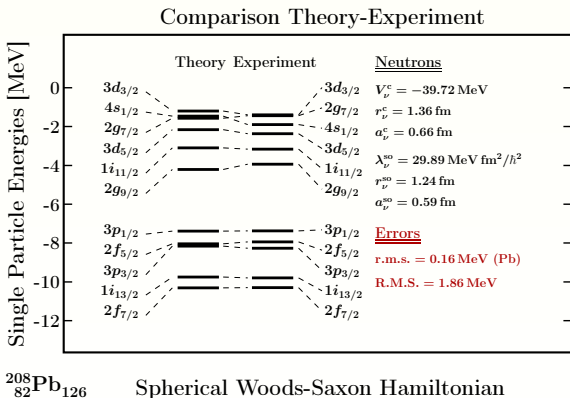
→ **Original potential 6 parameters**

→ **Density dependent 4 parameters**

→ **Correlation removal → 1 free spin-orbit parameter left !!!**

# We Fit All the Six Parameters of the Traditional $\hat{V}_{so}$

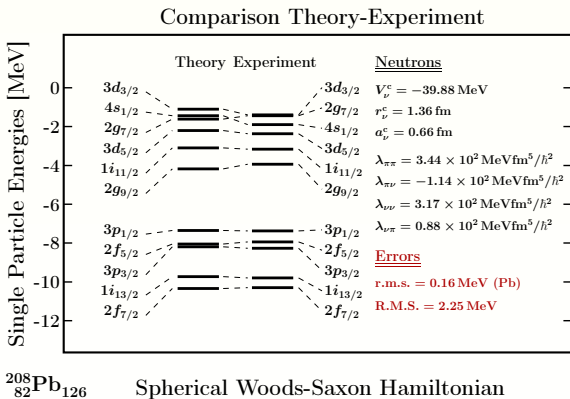
- We fit six parameters -  $\{\lambda_{\pi}^{SO}, r_{\pi}^{SO}, a_{\pi}^{SO}\}$  for protons and  $\{\lambda_{\nu}^{SO}, r_{\nu}^{SO}, a_{\nu}^{SO}\}$  for neutrons



- Results for  ${}^{208}\text{Pb}$ : Neutrons, traditional  $\hat{V}_{so} \rightarrow$  **Resulting r.m.s. = 0.16 MeV**

# Fit All Four Parameters of the Density-Dependent SO

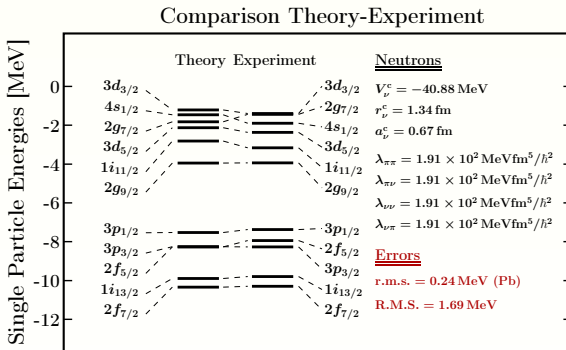
- We fit  $\{ \lambda_{nn}, \lambda_{np}, \lambda_{pn}, \lambda_{pp} \}$  of the density-dependent – SO to  $^{208}\text{Pb}$  levels



- $^{208}\text{Pb}$ : Density-dependent  $\hat{V}_{so} \rightarrow$  **Resulting r.m.s. = 0.16 MeV (unchanged)**

# Fitting Just 1 Parameter in the Density-Dependent SO

- We introduce the constraint  $\lambda_{nn} = \lambda_{np} = \lambda_{pn} = \lambda_{pp} \equiv \lambda$ , and fit  $^{208}\text{Pb}$  levels



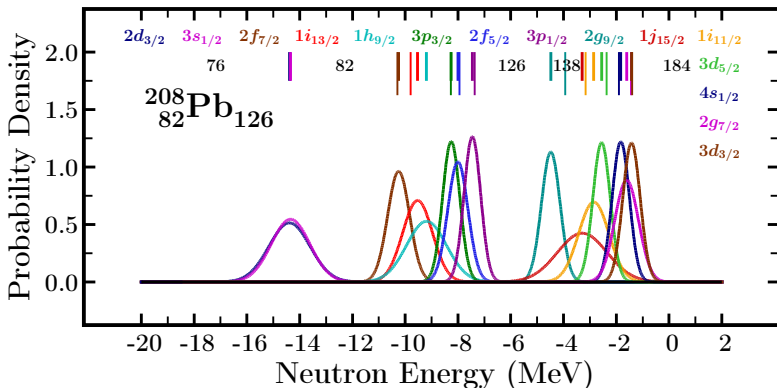
$^{208}_{82}\text{Pb}_{126}$

Spherical Woods-Saxon Hamiltonian

- $^{208}\text{Pb}$ : Just 1 free parameter  $\rightarrow$  **Results r.m.s. = 0.24 MeV (small deterioration)**
- Conjecture: **Equivalent to 1 parameter - common for protons and for neutrons**

# Monte-Carlo Simulations of Uncertainty Distributions

- Prediction-uncertainty distributions and  $\{e_\nu\}$ -comparison with experiment



- Example of realistic simulations corresponding to actual experimental errors
- Notice a strong state-dependence of the resulting uncertainty-distribution width

# **FINAL CONCLUSIONS:**



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- Global simultaneous fits to these nuclei confirm above conclusions

# Amazing but True: 40 Years Old Parameterisation !!

- Our starting point Hamiltonian has been tested in thousands of published articles (preceding page) and is being used continually
- The *Universal Woods-Saxon Hamiltonian* and associated, so-called ‘universal parameterisation’ has been developed in a series of articles:
- J. Dudek and T. Werner, J. Phys. G: Nucl. Phys. **4**, 1543 (1978),
- J. Dudek, A. Majhofer, J. Skalski, T. Werner, S. Cwiok, and W. Nazarewicz, J. Phys. G: Nucl. Phys. **5**, 1359 (1979),
- J. Dudek, W. Nazarewicz, and T. Werner, Nucl. Phys. A **341** 253, (1980)
- J. Dudek, Z. Szymański, and T. Werner, Phys. Rev. C **23**, 920 (1981) and has been summarised in
- S. Cwiok, J. Dudek, W. Nazarewicz, J. Skalski, and T. Werner, Comp. Phys. Comm. **46**, 379 (1987).

This approach is being used without modifications by many authors also today.